

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

2002DE417

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

unknown 107512040

INTERNATIONAL APPLICATION NO.

PCT/EP03/03592

INTERNATIONAL FILING DATE

07 March 2003

PRIORITY DATE CLAIMED

20 April 2003

## TITLE OF INVENTION

Use Of Fatty Amine Salts In Conjunction With Fatty Acids As Auxiliary Agents For The Floatation Of Potassium Salts (Sylvinite)

## APPLICANT(S) FOR DO/EO/US

Klaus-Ulrich PEDAIN and Tobias RAU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Has been communicated by the International Bureau.
  - c. ☐ Is not required, as the application was filed in the United States Receiving Office (Ro/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ Has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Have been communicated by the International Bureau.
  - c. ☐ Have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ Have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter .2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Express Mail Label Number: ER913328236US; copy of the International Search Report.

Customer Number 25,255

U.S. APPLICATION NO. (if known) **10/512040**  
unknownINTERNATIONAL APPLICATION NO.  
PCT/EP03/03592ATTORNEY'S DOCKET NUMBER  
2002DE417021. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

DT01

CALCULATIONS PTO USE ONLY

Rec'd PCT/PTC 20 OCT 2004

Neither international preliminary examination fee (37 CFR 1.482)  
Nor international search fee (37 CFR 1.445(a)(2) paid to USPTO  
and International Search Report not prepared by the EPO or JPO.....\$1,110.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO.....\$ 950.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search fee (37 CFR 1.482) paid to USPTO.....\$790.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....\$750.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33 (1)-(4) .....\$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 950.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
From the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	10 - 20 =	0	x \$18.00	\$ 0.00
Independent claims	2 - 3 =	0	x \$88.00	\$ 0.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$300.00 \$ 0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 950.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fee indicated above are  
reduced by 1/2.

\$ 0.00

**SUBTOTAL =**

\$ 950.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
From the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

**TOTAL NATIONAL FEE =**

\$ 950.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

**TOTAL FEES ENCLOSED =**

\$ 990.00

Amount to be  
refunded:

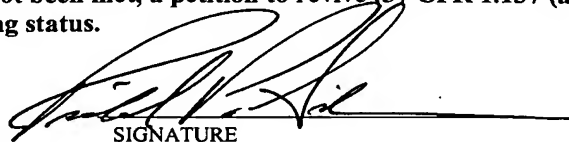
\$

charged:

\$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. 03-2060 in the amount of \$ 990.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Clariant Corporation  
Industrial Property Department  
4000 Monroe Road  
Charlotte, North Carolina 28205  
Telephone: 704-331-7156  
Fax: 704-331-7707  
SIGNATURE

Richard P. Silverman

NAME

36.277

REGISTRATION NUMBER

Customer Number 25,255

**10/512040**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DT01 Rec'd PCT/CTT 20 OCT 2004

**Express Mail Label Number ER 913328236 US**

**Date of Mailing: October 20, 2004**

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

**Inventors: Klaus-Ulrich PEDAIN  
Tobias RAU**

**For: Use Of Fatty Amine Salts In Conjunction With Fatty Acids As  
Auxiliary Agents For The Floatation Of Potassium Salts (Sylvinite)**

**International Application No.: PCT/EP03/03592  
International Filing Date: 07/03/2003 (07 March 2003)  
Priority Filing Date: 20/04/2002 (20 April 2002)**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Sgro

